Integrated Circuits for Communication  $\land \land \land \land$ Berkeley

#### Physical Origin of Electrical Noise

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### Thermal Noise of a Resistor



• All resistors generate noise. The noise power generated by a resistor R can be represented by a series voltage source with mean square value  $\overline{v_n^2}$ 

$$\overline{v_n^2} = 4k_B TRB$$

• Equivalently, we can represent this with a current source in shunt

$$\overline{i_n^2} = 4k_B TGB$$

- Here *B* is the bandwidth of observation and *kT* is Boltzmann's constant times the temperature of observation
- This result comes from thermodynamic considerations, thus explaining the appearance of kT
- Often we speak of the "spot noise", or the noise in a specific narrowband  $\delta f$

$$\overline{v_n^2} = 4k_B TR\delta f$$

• Since the noise is white, the shape of the noise spectrum is determined by the external elements (*L*'s and *C*'s)

• Suppose that  $R = 10 \mathrm{k}\Omega$  and  $T = 20^{\circ}\mathrm{C} = 293\mathrm{K}$ .

$$4k_B T = 1.62 \times 10^{-20}$$
  
 $\overline{v_n^2} = 1.62 \times 10^{-16} \times B$   
 $v_{n,rms} = \sqrt{\overline{v_n(t)^2}} = 1.27 \times 10^{-8} \sqrt{B}$ 

• If we limit the bandwidth of observation to  $B = 10^{6} \mathrm{MHz}$ , then we have

$$v_{n,rms} \approx 13 \mu V$$

• This represents the limit for the smallest voltage we can resolve across this resistor in this bandwidth

• If we put two resistors in series, then the mean square noise voltage is given by

$$\overline{v_n^2} = 4k_B T(R_1 + R_2)B = \overline{v_{n1}^2} + \overline{v_{n2}^2}$$

- The noise powers add, not the noise voltages
- Likewise, for two resistors in parallel, we can add the mean square currents

$$\overline{i_n^2} = 4k_BT(G_1+G_2)B = \overline{i_{n1}^2} + \overline{i_{n2}^2}$$

• This holds for any pair of independent noise sources (zero correlation)



• For an arbitrary resistive circuit, we can find the equivalent noise by using a Thevenin (Norton) equivalent circuit or by transforming all noise sources to the output by the appropriate *power* gain (e.g. voltage squared or current squared)

$$V_{T,s} = V_S \frac{R_3}{R_1 + R_3}$$

$$\overline{v_{Tn}^2} = 4k_B TR_T B = 4k_B T(R_2 + R_1 || R_3)B$$

## Noise in an LTI System

$$S(f) \longrightarrow H(f) \longrightarrow |H(f)|^2 S(f)$$

• A fundamental result from Stochastic Systems is that if you inject noise into an LTI system (such as a filter), the output noise is shaped by the magnitude of the transfer function

$$\overline{V}^2 = \int_{-\infty}^{\infty} S(f) |H(f)|^2 df$$

- Note that we can't say anything about the phase, but we know the magnitude response will be filtered.
- Any white noise source, such as a resistor, will be shaped by poles in the system.

# Noise for Passive Circuits (I)



• For a general linear circuit, the mean square noise voltage (current) at any port is given by the equivalent input resistance (conductance)

$$\overline{v_{eq}^2} = 4k_B T \Re(Z(f))\delta f$$

• This is the "spot" noise. If the network has a filtering property, then we integrate over the band of interest

$$\overline{v_{T,eq}^2} = 4k_BT \int_B \Re(Z(f))df$$

• Unlike resistors, *L*'s and *C*'s *do not* generate noise. They do shape the noise due to their frequency dependence.

• To find the equivalent mean square noise voltage for a circuit, we use the small signal model (noise signals are actually small, so it's a good approximation). For each noise source, invoke superposition and calculate the noise contribution to the desired node

$$\overline{v_{n,o}^2} = |G_{1,o}|^2 \overline{v_{n,1}^2} + |G_{2,o}|^2 \overline{v_{n,2}^2} + \dots = \sum_k |G_{k,o}|^2 \overline{v_{n,k}^2}$$

• where  $\overline{v_{n,k}^2}$  is the *k*th noise source, and the gain from that noise to the output node is given by  $G_{k,o}$ . Note that the polarity of the noise sources is irrelevant since we're summing powers (all positive quantities). The above expression assumes that the noise sources are *independent*. Later on we'll see how to handle correlated noise sources.

### Example: Noise of an RC Circuit



To find the equivalent mean square noise voltage of an RC circuit, begin by calculating the impedance

$$Z = \frac{1}{Y} = \frac{1}{G + j\omega C} = \frac{G - j\omega C}{G^2 + \omega^2 C^2}$$

• Integrating the noise over all frequencies, we have

$$\overline{v_n^2} = \frac{4k_BT}{2\pi} \int_0^\infty \frac{G}{G^2 + \omega^2 C^2} d\omega = \frac{k_BT}{C}$$

• Notice the result is *independent* of *R*. Since the noise and BW is proportional/inversely proportional to *R*, its influence cancels out



- Assume we construct an antenna with ideal conductors so  $R_{wire} = 0$
- If we connect the antenna to a spectrum analyzer, though, we will observe noise
- The noise is also "white" but the magnitude depends on where we point our antenna (sky versus ground)

### Equivalent Antenna Temperature



- $T_A$  is the equivalent antenna temperature and  $R_{rad}$  is the radiation resistance of the antenna
- Since the antenna does not generate any of its own thermal noise, the observed noise must be incident on the antenna. In fact, it's "black body" radiation.
- Physically *T<sub>A</sub>* is related to the temperature of the external bodies radiating into space (e.g. space or the ground)

- A forward biased diode exhibits noise called *shot noise*. This noise arises due to the quantized nature of charge.
- The noise mean square current is given by

$$\overline{i_{d,n}^2} = 2qI_{DC}B$$

- The noise is white and proportional to the DC current  $I_{DC}$
- Reversed biased diodes exhibit excess noise not related to shot noise.

- All physical resistors in a BJT produce noise (r<sub>b</sub>, r<sub>e</sub>, r<sub>c</sub>). The output resistance r<sub>o</sub>, though, is not a physical resistor. Likewise, r<sub>π</sub>, is not a physical resistor. Thus these resistances do not generate noise
- The junctions of a BJT exhibit shot noise

$$\overline{i_{b,n}^2} = 2qI_BB$$
$$\overline{i_{c,n}^2} = 2qI_CB$$

• At low frequencies the transistor exhibits "Flicker Noise" or 
$$1/f$$
 Noise.

## BJT Hybrid-П Model



 The above equivalent circuit includes noise sources. Note that a small-signal equivalent circuit is appropriate because the noise perturbation is very small

### FET Noise

- In addition to the extrinsic physical resistances in a FET  $(r_g, r_s, r_d)$ , the channel resistance also contributes thermal noise
- The drain current noise of the FET is therefore given by

$$\overline{i_{d,n}^2} = 4k_B T \gamma g_{ds0} \delta f + K \frac{l_D^a}{C_{ox} L_{eff}^2 f^e} \delta f$$

- The first term is the thermal noise due to the channel resistance and the second term is the "Flicker Noise", also called the 1/f noise, which dominates at low frequencies.
- The factor  $\gamma = \frac{2}{3}$  for a long channel device.
- The constants K, a, and e are usually determined empirically.

• Consider a FET with  $V_{DS} = 0$ . Then the channel conductance is given by

$$g_{ds,0} = \frac{\partial I_{DS}}{\partial V_{DS}} = \mu C_{ox} \frac{W}{L} (V_{GS} - V_T)$$

• For a long-channel device, this is also equal to the device transconductance  $g_m$  in saturation

$$g_m = \frac{\partial I_{DS}}{\partial V_{GS}} = \mu C_{ox} \frac{W}{L} (V_{GS} - V_T)$$

For short-channel devices, this relation is not true, but we can define

$$\alpha = \frac{g_m}{g_{d0}} \neq 1$$

# FET Noise Equivalent Circuit



• The resistance of the substrate also generates thermal noise. In most circuits we will be concerned with the noise due to the channel  $\overline{i_d^2}$  and the input gate noise  $\overline{v_{R_r}^2}$  • An elegant derivation of the physical origin of the noise of a resistor is due to van der Ziel. Consider an *RC* circuit where as a result of thermal agitation of electrons, the capacitor is charged and discharged constantly. On average, the energy stored is given by the equipartition theorem:

$$\frac{1}{2}C\overline{V^2} = \frac{1}{2}k_BT$$
$$\overline{V^2} = \frac{k_BT}{C}$$

• This result can be derived rigorously assuming a Boltzmann distribution for the energy (see later slides).

• Let's say that we don't know the power spectral density of the noise of the resistor. Whatever it's noise is, though, we know that noise voltage at the capacitor can be computed from

$$\overline{V}^2 = \int_{-\infty}^{\infty} S_V^2(\omega) |H(\omega)|^2 d\omega$$

• where  $H(\omega)$  is the transfer function from the resistor noise to the capacitor

$$egin{aligned} H(\omega) &= rac{1}{1+j\omega RC} \ |H(\omega)|^2 &= rac{1}{1+\omega^2(RC)^2} \end{aligned}$$

### Noise Bandwidth

• Integrating the noise we have

$$\overline{V}^2 = \int_{-\infty}^{\infty} S_V^2(\omega) rac{1}{1+\omega^2(RC)^2} d\omega$$

• If we assume that the voltage noise density does not depend on frequency (experimental fact), then we have

$$\overline{V}^{2} = \overline{S}_{V}^{2} \int_{-\infty}^{\infty} \frac{1}{1 + \omega^{2} (RC)^{2}} d\omega = \frac{S_{V}^{2}}{2RC}$$

• Now applying the Equipartition Theorem

$$\frac{\overline{S_V}^2}{2RC} = \frac{k_B T}{C}$$
$$\overline{S_V}^2 = 2k_B TR$$

• In most noise calculations, we integrate noise over positive frequencies, which means we should double the result of our previous calculation to properly account for noise

$$\overline{S_V}^2 = 4k_B TR$$

- For a capacitor, the energy stored  $E = CV^2/2$  due to a noise resistor should be proportional to the Boltzmann distribution  $exp(-E/k_BT)$  (assume thermal equilibrium at temperature T).
- To find the proportionality constant, note that integrating this quantity over all energy values should be unity

$$\int_{-\infty}^{\infty} Kexp\left(\frac{-CV^2}{2k_BT}\right) dV = 1$$
$$K = \sqrt{\frac{C}{2\pi k_BT}}$$

• Now we can compute the mean squared value of the voltage

$$\overline{V^2} = \sqrt{\frac{C}{2\pi k_B T}} \int_{-\infty}^{\infty} V^2 exp\left(\frac{-CV^2}{2k_B T}\right) dV$$
$$\overline{V^2} = \frac{k_B T}{C}$$

• The last step follows after performing the integral.